

Quantum metaphysics from an effective-field-theory viewpoint

David Wallace (University of Southern California)

May 18, 2018

Prologue: Two dogmas of representation

Prologue: Two dogmas of representation

The **cosmological assumption**: The primary way to understand a physical theory is to suppose that it models the entire universe. Interpretations based on the assumption that the theory models a finite subsystem of a larger universe are secondary and derivative on the primary understanding, or else of merely instrumental significance.

Prologue: Two dogmas of representation

The cosmological assumption: The primary way to understand a physical theory is to suppose that it models the entire universe. Interpretations based on the assumption that the theory models a finite subsystem of a larger universe are secondary and derivative on the primary understanding, or else of merely instrumental significance.

The fundamentality assumption: The primary way to understand a physical theory is to suppose that it is exactly true and represents the deepest features of reality. Interpretations based on the assumption that the theory is approximate, or otherwise non-fundamental are secondary and derivative on the primary understanding, or else of merely instrumental significance.

[I]t is not often that experiments are done under the stars. Rather they are done in a room. Although it is physically reasonable that the walls have no effect, it is true that the original problem is set up as an idealisation. (Feynman)

Modelling the quantum continuum

Assume:

Modelling the quantum continuum

Assume:

- ▶ The system “looks continuous” on the energy/length scales we’re interested in

Modelling the quantum continuum

Assume:

- ▶ The system “looks continuous’ on the energy/length scales we’re interested in
- ▶ the degrees of freedom at each point (e.g., scalar, vector, such-and-such internal degrees of freedom) are known

Modelling the quantum continuum

Assume:

- ▶ The system “looks continuous’ on the energy/length scales we’re interested in
- ▶ the degrees of freedom at each point (e.g., scalar, vector, such-and-such internal degrees of freedom) are known
- ▶ The symmetries are known

Modelling the quantum continuum

Assume:

- ▶ The system “looks continuous” on the energy/length scales we’re interested in
- ▶ the degrees of freedom at each point (e.g., scalar, vector, such-and-such internal degrees of freedom) are known
- ▶ The symmetries are known (and it’s known whether they’re spontaneously broken)

The cutoff

The cutoff

- ▶ Treating the theory as a literal continuum leads to mathematical pathologies

The cutoff

- ▶ Treating the theory as a literal continuum leads to mathematical pathologies
- ▶ Pick a 'cutoff' scale, short compared to the scale on which we're studying the system but (for the moment!) otherwise arbitrary

The cutoff

- ▶ Treating the theory as a literal continuum leads to mathematical pathologies
- ▶ Pick a 'cutoff' scale, short compared to the scale on which we're studying the system but (for the moment!) otherwise arbitrary
- ▶ Even if we have reason to think the theory is 'really' discrete on short lengthscales, the cutoff needn't be put at that lengthscale and doesn't in any realistic way describe the actual short-distance physics

Next steps?

Next steps?

1. Presumably we now need to work out the *dynamics*, about which we've said almost nothing

Next steps?

1. Presumably we now need to work out the *dynamics*, about which we've said almost nothing
2. That 'cutoff' still looks really worrying:

Next steps?

1. Presumably we now need to work out the *dynamics*, about which we've said almost nothing
2. That 'cutoff' still looks really worrying:
 - ▶ Are we supposed to take it physically seriously?

Next steps?

1. Presumably we now need to work out the *dynamics*, about which we've said almost nothing
2. That 'cutoff' still looks really worrying:
 - ▶ Are we supposed to take it physically seriously?
 - ▶ It obviously doesn't give the right description of the short-distance physics, but the short-distance physics ought to make a big difference!

The scalar field

$$\mathcal{L} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - V(\varphi)$$

The scalar field

$$\mathcal{L} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - V(\varphi)$$

$$V(\varphi) = V_0 + \frac{m^2}{2} \varphi^2 + \frac{\lambda_4}{4!} \varphi^4 + \frac{\lambda_6}{6!} \varphi^6 + \dots$$

Varying the cutoff

Varying the cutoff

The plan:

1. Replace the cutoff Λ with a lower-energy cutoff $\Lambda' < \Lambda$

Varying the cutoff

The plan:

1. Replace the cutoff Λ with a lower-energy cutoff $\Lambda' < \Lambda$
2. Change the values of the coefficients (m^2 , λ_n) so that the low-energy physics is unchanged

Varying the cutoff

The plan:

1. Replace the cutoff Λ with a lower-energy cutoff $\Lambda' < \Lambda$
2. Change the values of the coefficients (m^2 , λ_n) so that the low-energy physics is unchanged
3. If desired, *reinterpret* this as describing the *original* theory at larger lengthscales/lower energies

Renormalisability

Renormalisability

Split the coefficients into

- ▶ An infinite group of *non-renormalisable* coefficients, which depend on positive powers of the cutoff length and so have very small effects on lengthscales large compared to the cutoff

Renormalisability

Split the coefficients into

- ▶ An infinite group of *non-renormalisable* coefficients, which depend on positive powers of the cutoff length and so have very small effects on lengthscales large compared to the cutoff
- ▶ A finite (indeed pretty small) group of *renormalisable* coefficients which carry on being dynamically relevant even at large scales

Renormalisability

Split the coefficients into

- ▶ An infinite group of *non-renormalisable* coefficients, which depend on positive powers of the cutoff length and so have very small effects on lengthscales large compared to the cutoff
- ▶ A finite (indeed pretty small) group of *renormalisable* coefficients which carry on being dynamically relevant even at large scales

So:

1. Our ignorance of the short-distance physics is packaged up in the values of the renormalisable coefficients (and perhaps the leading-order non-renormalisable ones)

Renormalisability

Split the coefficients into

- ▶ An infinite group of *non-renormalisable* coefficients, which depend on positive powers of the cutoff length and so have very small effects on lengthscales large compared to the cutoff
- ▶ A finite (indeed pretty small) group of *renormalisable* coefficients which carry on being dynamically relevant even at large scales

So:

1. Our ignorance of the short-distance physics is packaged up in the values of the renormalisable coefficients (and perhaps the leading-order non-renormalisable ones)
2. Those coefficients are *compulsory*: even if we try leaving one of them out of the Lagrangian, it gets added back in when we renormalise

Examples

Examples

- ▶ Fermi's 4-fermion theory of the weak interaction

Examples

- ▶ Fermi's 4-fermion theory of the weak interaction
- ▶ Superconductivity

Examples

- ▶ Fermi's 4-fermion theory of the weak interaction
- ▶ Superconductivity
- ▶ Pion physics (=the strong force, more or less)

Examples

- ▶ Fermi's 4-fermion theory of the weak interaction
- ▶ Superconductivity
- ▶ Pion physics (=the strong force, more or less)
- ▶ General relativity

Eliminate the cutoff? I

Eliminate the cutoff? I

Can't be done (probably) if there are nonrenormalisable interactions:

Eliminate the cutoff? I

Can't be done (probably) if there are nonrenormalisable interactions:

- ▶ The value of the cutoff can more or less be read off from the coefficients of the interactions

Eliminate the cutoff? I

Can't be done (probably) if there are nonrenormalisable interactions:

- ▶ The value of the cutoff can more or less be read off from the coefficients of the interactions
- ▶ If we ignore this and try to use the theory at energies above this cutoff, we break it!

Eliminate the cutoff? I

Can't be done (probably) if there are nonrenormalisable interactions:

- ▶ The value of the cutoff can more or less be read off from the coefficients of the interactions
- ▶ If we ignore this and try to use the theory at energies above this cutoff, we break it!
- ▶ Examples:
 - ▶ The 4-fermion theory (fails at the energy of the W/Z boson)

Eliminate the cutoff? I

Can't be done (probably) if there are nonrenormalisable interactions:

- ▶ The value of the cutoff can more or less be read off from the coefficients of the interactions
- ▶ If we ignore this and try to use the theory at energies above this cutoff, we break it!
- ▶ Examples:
 - ▶ The 4-fermion theory (fails at the energy of the W/Z boson)
 - ▶ General relativity (fails at the Planck length)

Eliminate the cutoff? I

Can't be done (probably) if there are nonrenormalisable interactions:

- ▶ The value of the cutoff can more or less be read off from the coefficients of the interactions
- ▶ If we ignore this and try to use the theory at energies above this cutoff, we break it!
- ▶ Examples:
 - ▶ The 4-fermion theory (fails at the energy of the W/Z boson)
 - ▶ General relativity (fails at the Planck length)
 - ▶ Electroweak theory without the Higgs (fails at $\sim 1\text{ TeV}$)

Eliminate the cutoff? II

If there are only renormalisable interactions: how do they scale as we use the theory at higher and higher energies?

- ▶ If they tend to zero ('asymptotic freedom') or some finite value ('asymptotic safety') at short distances, there *might* be a continuum limit (example: pure QCD)
- ▶ If they diverge at some short lengthscale (a 'Landau pole') then there (probably) isn't a continuum limit (examples: QED, the Standard Model)

Metaphysical lessons

Metaphysical lessons

- ▶ The various quantum field theories that we use in physics — in particular, the Standard Model — can *only* be understood in terms of some cutoff on the high-energy physics . . .

Metaphysical lessons

- ▶ The various quantum field theories that we use in physics — in particular, the Standard Model — can *only* be understood in terms of some cutoff on the high-energy physics . . .
- ▶ . . . but the high-energy physics is wildly underdetermined by the phenomenology

Metaphysical lessons

- ▶ The various quantum field theories that we use in physics — in particular, the Standard Model — can *only* be understood in terms of some cutoff on the high-energy physics . . .
- ▶ . . . but the high-energy physics is wildly underdetermined by the phenomenology
- ▶ Only very general information about the short-distance physics is needed to specify the form of the physics at a given energy scale . . .

Metaphysical lessons

- ▶ The various quantum field theories that we use in physics — in particular, the Standard Model — can *only* be understood in terms of some cutoff on the high-energy physics . . .
- ▶ . . . but the high-energy physics is wildly underdetermined by the phenomenology
- ▶ Only very general information about the short-distance physics is needed to specify the form of the physics at a given energy scale . . .
- ▶ . . . and so, conversely, we can infer very little about the degrees of freedom (ontology, if you like) of short distance physics by studying large-distance physics

Metaphysical lessons

- ▶ The various quantum field theories that we use in physics — in particular, the Standard Model — can *only* be understood in terms of some cutoff on the high-energy physics . . .
- ▶ . . . but the high-energy physics is wildly underdetermined by the phenomenology
- ▶ Only very general information about the short-distance physics is needed to specify the form of the physics at a given energy scale . . .
- ▶ . . . and so, conversely, we can infer very little about the degrees of freedom (ontology, if you like) of short distance physics by studying large-distance physics
- ▶ The degrees of freedom at large scales are determined by the degrees of freedom at small scales only in a very complicated, indirect, dynamically mediated way

The measurement problem from an EFT viewpoint

The measurement problem from an EFT viewpoint

- ▶ The goal: interpret quantum theory

The measurement problem from an EFT viewpoint

- ▶ The goal: interpret quantum theory
- ▶ ... but which quantum theory? Two answers:

The measurement problem from an EFT viewpoint

- ▶ The goal: interpret quantum theory
- ▶ ... but which quantum theory? Two answers:
 1. All of them!

The measurement problem from an EFT viewpoint

- ▶ The goal: interpret quantum theory
- ▶ ... but which quantum theory? Two answers:
 1. All of them!
 2. Only the most fundamental quantum theory!

Primitive ontology

Primitive ontology

- ▶ Any theory is supposed to have a 'primitive ontology', consisting of local particles (or fields)

Primitive ontology

- ▶ Any theory is supposed to have a 'primitive ontology', consisting of local particles (or fields)
- ▶ We are assumed to have direct observational access to (coarse-grainings of) the primitive ontology

Primitive ontology

- ▶ Any theory is supposed to have a 'primitive ontology', consisting of local particles (or fields)
- ▶ We are assumed to have direct observational access to (coarse-grainings of) the primitive ontology
- ▶ Macroscopic objects are mereological sums of primitive-ontology particles, identified by size and shape

Primitive ontology

- ▶ Any theory is supposed to have a 'primitive ontology', consisting of local particles (or fields)
- ▶ We are assumed to have direct observational access to (coarse-grainings of) the primitive ontology
- ▶ Macroscopic objects are mereological sums of primitive-ontology particles, identified by size and shape
- ▶ The theory might have additional ontology beyond the primitive ontology, but it has a derivative status and is to be understood in terms of its effects on the primitive ontology

Primitive ontology

- ▶ Any theory is supposed to have a 'primitive ontology', consisting of local particles (or fields)
- ▶ We are assumed to have direct observational access to (coarse-grainings of) the primitive ontology
- ▶ Macroscopic objects are mereological sums of primitive-ontology particles, identified by size and shape
- ▶ The theory might have additional ontology beyond the primitive ontology, but it has a derivative status and is to be understood in terms of its effects on the primitive ontology
- ▶ (again, cf the old observation language / theory language distinction)

From the Standard Model to tables and chairs

1. Start with the standard model

From the Standard Model to tables and chairs

1. Start with the standard model
2. Proceed through electroweak symmetry breaking and quark confinement to an effective field theory of protons, neutrons, electrons, pions and photons

From the Standard Model to tables and chairs

1. Start with the standard model
2. Proceed through electroweak symmetry breaking and quark confinement to an effective field theory of protons, neutrons, electrons, pions and photons
3. Restrict to lower energies still, and move to an effective field theory of nucleons, electrons and photons

From the Standard Model to tables and chairs

1. Start with the standard model
2. Proceed through electroweak symmetry breaking and quark confinement to an effective field theory of protons, neutrons, electrons, pions and photons
3. Restrict to lower energies still, and move to an effective field theory of nucleons, electrons and photons
4. Restrict to velocities $\ll c$, integrate out the EM field, and get out nonrelativistic particle mechanics as an effective field theory

From the Standard Model to tables and chairs

1. Start with the standard model
2. Proceed through electroweak symmetry breaking and quark confinement to an effective field theory of protons, neutrons, electrons, pions and photons
3. Restrict to lower energies still, and move to an effective field theory of nucleons, electrons and photons
4. Restrict to velocities $\ll c$, integrate out the EM field, and get out nonrelativistic particle mechanics as an effective field theory
5. Restrict to certain combinations of temperature and density, so as to get spontaneous symmetry breaking and an effective field theory of solid matter

Redhead on EFTs (in Cao ed (1999))

[F]rom the point of view of methodology of science a recurring theme has been the search for an *ultimate* underlying order characterized by simplicity and symmetry that lies behind and explains the confusing complexity of the phenomenal world. To subscribe to the new EFT programme is to give up on this endeavour and retreat to a position that is admittedly more cautious and pragmatic and closer to experimental practice, but is somehow less intellectually exciting.

Weinberg on EFTs (hep-th/9702027)

It seems to me that this is analogous to saying that to balance your checkbook is to give up dreams of wealth and have a life that is intrinsically less exciting. In a sense that's true, but nevertheless it's still something that you had better do every once in a while.

Weinberg on EFTs (hep-th/9702027)

It seems to me that this is analogous to saying that to balance your checkbook is to give up dreams of wealth and have a life that is intrinsically less exciting. In a sense that's true, but nevertheless it's still something that you had better do every once in a while. I think that in regarding the standard model and general relativity as effective field theories were simply balancing our checkbook and realizing that we perhaps didn't know as much as we thought we did, but this is the way the world is...

Polchinski on EFTs (hep-th/9210046)

- Q: Doesn't all this mean that quantum field theory, for all its successes, is an approximation that may have little to do with the underlying theory? And isn't renormalization a bad thing, since it implies that we can only probe the high energy theory through a small number of parameters?

- Q: Doesn't all this mean that quantum field theory, for all its successes, is an approximation that may have little to do with the underlying theory? And isn't renormalization a bad thing, since it implies that we can only probe the high energy theory through a small number of parameters?
- A: Nobody ever promised you a rose garden.